



Shelby County Government

MARK H. LUTTRELL, JR.
MAYOR

June 2, 2016

The Honorable Terry Roland, Chairman
Shelby County Board of Commissioners
160 N. Main, 6th Floor
Memphis, TN 38103

Dear Chairman Roland:

Pursuant to the rules and regulations governing the Shelby County Retirement Board, I hereby nominate James Martin for appointment and Richard Swiggart, and Daniel Dent for reappointments.

For your use, biographical information is attached and approval of the Board of Commissioners will be appreciated.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark H. Luttrell, Jr.", is written over a horizontal line.

Mark H. Luttrell, Jr.
Mayor

MHL/th

Enclosure

New Appointment

Shelby County Boards & Commissions

Submit Date: May 29, 2016

Status: submitted

Profile

Mr.	James	B.	Martin	
Prefix	First Name	Middle Initial	Last Name	Suffix

Caucasian/Non-Hispanic

Ethnicity

Male

Gender

05/18/1946

Date of Birth

Suzanne Martin

Name of Spouse

jbsmartin@comcast.net

Email Address

1830 Jackson Ave.

Street Address

Suite or Apt

Memphis

City

TN

State

38107

Postal Code

Mobile: (901) 283-3528

Primary Phone

Home: (901) 272-1513

Alternate Phone

n/a

Employer

n/a

Employer Address

n/a

Employer City

n/a

Employer State

n/a

Employer Zip

Education

Which Boards would you like to apply for?

Retirement Board

45

How many years have you been a resident of Shelby County?

Have you ever been convicted of a felony?

☐ Yes ☒ No

Do you give Shelby County Government authorization to request that the Shelby County Sheriff's Department perform a background check?

☒ Yes ☐ No

Do you also understand that other sources including the official state websites listing sexual predators and a check of outstanding balances owed to departments and offices of county government may be performed?

☒ Yes ☐ No

List any affiliation or ownership interest you have in any business, corporation or partnership and if such business contracts with or sells products or services to Shelby County Government.

None

List members of family, where employed, and whether or not their employer contracts with or sells products or services to Shelby County Government.

None

Additional Comments

James B. Martin

Signature

05/29/2016

Date

Resolution #26 Questionnaire

Pursuant to the aforementioned Resolution you are hereby required to list all private country, social, athletic or professional clubs of which you belong.

n/a

List below any of the aforementioned clubs of which you belong that do not have female members.

n/a

List below any of the aforementioned clubs of which you belong that do not have minority group members (e.g. African American, Hispanic, Asian).

n/a

Do any of the aforementioned clubs of which you belong discriminate in selecting members based on race, sex, or religion?

☐ Yes ☒ No

James B. Martin

Signature

05/29/2016

Date

Gratuity Questionnaire

none

Date of Gratuity

Nature and Purpose of the Gratuity

n/a

n/a

Name of the Official, Employee, Appointee or
Family Member who received the gratuity

n/a

Name of the person or entity that provided the
gratuity

Address of the person or entity that provided the gratuity

n/a

Description of the gratuity

n/a

n/a

Cost of the Gratuity (If cost is unknown and not reasonably discernible by the person giving the gratuity, then the person giving the gratuity shall report a good faith estimate of the cost of the gratuity).

The information contained in this Gratuity Disclosure Form, and any supporting documentation or materials referenced herein or submitted herewith, is true and correct to the best of my knowledge, information and belief and I affirm that I have not given, directly or indirectly, any gratuity to any elected official, employee or appointee (including their spouse and immediate family members) that has not been disclosed and I affirm that I have not violated the provisions of the Shelby County Government Code of Ethics.

☒ Yes ☐ No

James B. Martin
Signature

05/29/2016
Date

Resume

Please upload a file

JAMES B. MARTIN
1830 Jackson Ave.
Memphis, TN 38107
901.272.1513
jmartin@shelbycountytg.org

DATE OF BIRTH	May 18, 1946
PLACE OF BIRTH	Spartanburg, SC
EDUCATION	BS History, California State University, Long Beach
EMPLOYMENT HISTORY	
1969-1970	VISTA Volunteer Shelby County Correction Center
1970-1972	VISTA Supervisor Shelby County Correction Center
1972-1983	Manager of Programs and Administration Shelby County Correction Center
1983-1990	Deputy Administrator Shelby County Human Resources
1990-1999	Administrator Shelby County Human Resources
1999-2005	Deputy Administrator Shelby County Human Resources
2005-2010	Deputy Administrator Shelby County Benefits
September 31, 2010	<i>Retired from Shelby County Government with 40 years of service</i>

Reappointment

Shelby County Boards & Commissions

Status: reapplying

Profile

Mr.	Richard		Swiggart	
Prefix	First Name	Middle Initial	Last Name	Suffix

Caucasian/Non-Hispanic

Ethnicity

Male

Gender

9/2/1938

Date of Birth

Barbara

Name of Spouse

swigcds@bellsouth.net

Email Address

2268 Sunset Cove

Street Address

Suite or Apt

Germantown

City

TN

State

38138

Postal Code

(901) 754-1957

Primary Phone

901355-7811

Alternate Phone

Retired

Employer

Employer Address

Employer City

Employer State

Employer Zip

Education

BS degree-Bio/Chem, U of Memphis 1964 M.P.A DEGREE- HEALTH ADMINISTRATION, 1991

Which Boards would you like to apply for?

Retirement Board

41

How many years have you been a resident of Shelby County?

Have you ever been convicted of a felony?

☐ Yes ☒ No

Do you give Shelby County Government authorization to request that the Shelby County Sheriff's Department perform a background check?

☒ Yes ☐ No

Do you also understand that other sources including the official state websites listing sexual predators and a check of outstanding balances owed to departments and offices of county government may be performed?

☐ Yes ☒ No

List any affiliation or ownership interest you have in any business, corporation or partnership and if such business contracts with or sells products or services to Shelby County Government.

NONE

List members of family, where employed, and whether or not their employer contracts with or sells products or services to Shelby County Government.

Wife: Barbara, Ret. Memphis Bd. of Education Son: Richard B. Swiggart-Director of Pharmacy Daughter:
Lori Garafa, Gap Clothing

Additional Comments

Richard C. Swiggart

Signature

06/03/2016

Date

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n/a

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n/a

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n/a

Do any of the aforementioned clubs of which you belong discriminate in selecting members based on race, sex, or religion?

☐ Yes ☒ No

Richard C. Swiggart

Signature

06/03/2016

Date

Gratuity Questionnaire

06/03/2016

Date of Gratuity

Nature and Purpose of the Gratuity

n/a

n/a

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Family Member who received the gratuity

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☒ Yes ☐ No

Richard C. Swiggart

Signature

06/03/2016

Date

Resume

Please upload a file

RICHARD C. SWIGGART

2268 Sunset Cove
Germantown, Tennessee 38138
(901) 754-1957

PROFESSIONAL EXPERIENCE

January 1, 2003 - Present

Tennessee Department of Health
Director, Preventive Services, West Tennessee Region:

Responsible for all administrative, planning, and operational aspects of Dental, WIC, Nutrition, EPSTD&T TENNder Care Programs. Project Director for the Mississippi River Delta Special Initiative Grant which focuses on the development of building local networks of providers to address local health concerns and needs. This grant is in its second three year funding cycle and will help fund SYH programs and expand faith-based initiatives.

July 1995 Dec. 31, 2003

Tennessee Department of Health
Director of Assessment and Planning-West Tennessee Division:

Responsible for the development of a health-needs assessment and planning function within each of the 21 counties in the West Tennessee grand region. The process involves: developing a community-based, community-owned process to analyze the health status of each county; evaluation of available health resources, services and systems of care within each county; assessment of local attitudes toward community health services and issues (both private and public); identification of health priorities; establishment of goals, and determine actions and strategies to improve the health status of the community; development of effective links among local business, health providers, payors, etc. to implement plans and actions; and to establish a baseline for measuring improvement over time.

January 1995 - June 1995

Memphis and Shelby County Community
Health Agency
DSS Database Manager
Duties: Responsible for daily operation of a P.C.

based software program used for health planning purposes. Generate correspondence, reports, planning documents, policy and health status documents. Handle all legislative affairs between the agency and state and local officials. Consult with the Executive Director on all health related matters. Primary responsibility is to oversee the implementation of a databased management system linked to twelve remote sites statewide.

October 1994 - December 1994

Retired

April 1993 - September 1994

Shelby County Government (Tennessee)

Chief Administrative Officer

Reported directly to the County Executive Officer (Mayor) and had direct supervisory and administrative authority over seven (7) Division Directors and their operations: Administration & Finance, Planning & Development, Personnel, Public Works, Corrections, Health Services and Community Services. Was responsible for the general daily operations of Shelby County Government, administered the development of a total annual operating budget in excess of \$521million and an annual Capital Improvement budget of approximately \$80 million, approved all contracts, grant, agreements, etc. for all elected officials in County Government prior to submission for approval by the County Executive, maintained daily contact and interaction with the various elected local officials and had frequent direct contact with numerous state cabinet level officials (Finance & Administration, TennCare, etc.), representatives of the federal government and representatives of various Boards and Agencies.

September 1984 - March 1993

Memphis and Shelby County Health Department
Director

Responsible for overall direction and policy of a comprehensive urban health department with 700+ employees and a \$29 million budget. These responsibilities included all administrative operations, finance, budgeting, personnel, environmental, planning and clinical/medical health services. Clinical Services included seven free-standing decentralized primary care clinics which provided primary care and preventive services for adults and children. This system generated

300,000+ visits per year. Typical duties included direct communication and interface with local elected officials, CEO's of area hospitals, health provider organizations (public and private), and frequent contacts with State and Federal elected officials (Senators and Congressmen). During my tenure, I was heavily involved in the development of the TennCare Program and planning for managed care to be incorporated into the clinical system.

Significant accomplishments during my tenure as Department Administrator and as Director (Jan. 1981-March 1993) include the following: development of a computerized patient and finance, management and information system; a cost accounting system to meet medicaid/medicare cost reimbursement requirements; an automated billing system for Medicaid and private insurance payors; development of a fee for service system; development of a joint venture with LeBonheur Children's Hospital to establish and operate a Pediatric Night Clinic to download their Emergency Room, and conceived and helped to develop and pass legislation to establish The Shelby County Ground Water Quality Control Board. That Board provides oversight and approval of all ground water well applications and control of the Memphis Aquifer.

January 1981 - August 1984

Memphis and Shelby County Health Department
Department Administrator

Duties and responsibilities included daily administrative management of the department, including but not limited to, the preparation of the departmental budget (\$25 million), all personnel activities and actions, policy development and direct supervision for Managers of Maintenance and Operations, Personnel, Vital Records, Finance-Accounting-Purchasing, S.T.D., Medicaid and Security Services. This position required frequent contact with local, State and Federal officials.

February 1978 - January 1981

Memphis and Shelby County Health Department

Manager - Family Planning Section

Responsibilities included the overall management of the program which had a yearly budget of approximately \$1.2 million. Personnel management and supervision, budget, program direction, grants management and planning.

February 1973 - January 1978

Tennessee Department of Public Health, Memphis Delta Region (Shelby, Fayette, Lauderdale and Tipton Counties, Tennessee)

Regional Administrator

Responsible for the total day-to-day operations and management of three rural local health departments in the Memphis Delta Region. Overall supervision of all personnel, including physicians, dentists, nurses, environmentalists and clerks. Job required much contact and good rapport with local courts and magistrates. Served two years as Chairman of the State Budget Committee for the Tennessee Department of Public Health's Bureau of Regional and Local Health and helped to develop the Zero Base Budget plan for the Bureau.

EDUCATION

Memphis State University: BS Degree, 1964

Major: Biology Minor: Chemistry

Memphis State University: M.P.A. Degree, 1991
(Master Public Administration)

Major: Health Services Administration

SPECIAL TRAINING COURSES

Pesticides in Public Health, 1970, Centers for Disease Control Advanced, Pesticides in Public Health, 1978, Centers for Disease Control, Safety and Pesticides Usage, 1971, Centers for Disease Control, Use and application of the Gas Liquid Chromatograph, 1972, Centers for Disease Control, Professional Service Management Institute, 1976, University of Tennessee at Nashville, Management Discussion Skills, 1972, Tennessee Department of Public Health.

PROFESSIONAL ACTIVITIES

Tennessee Department of Health: Various committee assignments, i.e., Legislative Committee, Finance Committee, Maternal and Child Health Committee, Health Planning Committee

Tennessee Public Health Association: President Elect 1983, President-1984; Board of Directors 1983-1985; various committee chair positions and committee assignments in past 35 years

American Public Health Association:

Shelby County Government Pension Board 1980-1994: Appointed as a member by Mayor; served as Chairman of the Board's Disability Committee
National Association of Counties (NACo): Member of the Health and Education Steering Committee and National Association of County Health Officers.

Subcommittee member on Primary Care and Environment committees.

Memphis State University Health Services Advisory Board: Member, Faculty Advisory Board, Department of Political Science

PUBLICATIONS

Wildlife Kills Resulting from the Misuse of Arsenic Acid Herbicide. Swiggart, R.C., et al, Bulletin of Environmental Contamination and Toxicology, 1972, Vol. 8, No. 2.

Acute Hemorrhagic Cystitis. Industrial Exposure to the Pesticide Chlordimeform, Folland, David S., M.D., Swiggart, R.C., Journal of the American Medical Association, March 13, 1978, Vol. 239.

AIDS in the Workplace. A Policy Development and Resource Guide for Human Service Organization; Johnson, James A., Ph.D., Swiggart, R.C., (Chap. 8) et al, Shelby House, Suite 401, 1407 Union Avenue, Memphis, Tennessee, 1989.

AIDS - How it Affects Memphis. Swiggart, R.C., Business Perspectives, Memphis State University, Memphis, Tennessee, July 1988.

References Available Upon Request.

Profile

Prefix	Daniel	Middle Initial	Dent	Suffix
	First Name		Last Name	

Asian or Pacific Islander

Ethnicity

Male

Gender

10/23/1975

Date of Birth

Moya Dent

Name of Spouse

dentdm@gmail.com

Email Address

2530 Shepherdwood Lane

Street Address

Suite or Apt

Germantown

City

TN

State

38138

Postal Code

Home: (901) 756-9142

Primary Phone

Mobile: (901) 289-5029

Alternate Phone

First Tennessee Bank

Employer

165 Madison Ave, 3rd Floor

Employer Address

Memphis

Employer City

Employer State

38103

Employer Zip

Education

Christian Brothers University - MBA 4.0 University of Memphis - Bachelor of Professional Studies -
Organizational Leadership Barret School of Banking - graduated Summa Cum Laude

Which Boards would you like to apply for?

Retirement Board

26

How many years have you been a resident of Shelby
County?

Have you ever been convicted of a felony?

☐ Yes ☒ No

**Do you give Shelby County Government authorization to request that the Shelby County
Sheriff's Department perform a background check?**

☒ Yes ☐ No

**Do you also understand that other sources including the official state websites listing sexual
predators and a check of outstanding balances owed to departments and offices of county
government may be performed?**

☒ Yes ☐ No

**List any affiliation or ownership interest you have in any business, corporation or partnership
and if such business contracts with or sells products or services to Shelby County
Government.**

n/a

**List members of family, where employed, and whether or not their employer contracts with or
sells products or services to Shelby County Government.**

n/a

Additional Comments

Current member of the Retirement Board.

Daniel Dent

Signature

05/24/2016

Date

Resolution #26 Questionnaire

Pursuant to the aforementioned Resolution you are hereby required to list all private country, social, athletic or professional clubs of which you belong.

Refer to resume attached.

List below any of the aforementioned clubs of which you belong that do not have female members.

n/a

List below any of the aforementioned clubs of which you belong that do not have minority group members (e.g. African American, Hispanic, Asian).

n/a

Do any of the aforementioned clubs of which you belong discriminate in selecting members based on race, sex, or religion?

☐ Yes ☒ No

Daniel Dent
Signature

05/24/2016
Date

Gratuity Questionnaire

05/24/2016
Date of Gratuity

Nature and Purpose of the Gratuity

n/a

n/a
Name of the Official, Employee, Appointee or Family Member who received the gratuity

n/a
Name of the person or entity that provided the gratuity

Address of the person or entity that provided the gratuity

n/a

Description of the gratuity

n/a

n/a

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The information contained in this Gratuity Disclosure Form, and any supporting documentation or materials referenced herein or submitted herewith, is true and correct to the best of my knowledge, information and belief and I affirm that I have not given, directly or indirectly, any gratuity to any elected official, employee or appointee (including their spouse and immediate family members) that has not been disclosed and I affirm that I have not violated the provisions of the Shelby County Government Code of Ethics.

☒ Yes ☐ No

Daniel Dent

Signature

05/24/2016

Date

Resume

Daniel M. Dent -
Shelby County Retirement Board.pdf

Please upload a file

DANIEL M. DENT
2530 Shepherdwood Lane, Germantown, TN 38138
(901) 289-5029
dentdm@gmail.com
<http://www.linkedin.com/pub/daniel-dent/11/3b0/17a>

PROFESSIONAL EXPERIENCE

First Tennessee Bank – Memphis, Tennessee (April 2003 – Present)

SVP, Consumer Deposits and Emerging Payments (May 2013 – Present)

Sept 2013 – Present: SVP, Consumer Deposit and Emerging Payments Manager

- Received added responsibility of forecasting and managing interest rates, deposit balance growth, fee income and NII for the bank's consumer deposit products, including checking, savings, money market, IRAs and CDs.
- Ongoing evaluation of existing products, competitor products and offerings outside of the banking industry to develop innovative features, pricing and strategies.
- Develop and analyze promotions across the bank's footprint to drive deposit growth.
- Review and develop consumer deposit pricing strategy, evaluate and implement consumer deposit pricing tool.
- Review compliance deficiencies in consumer deposit product area and prioritize projects to meet regulatory requirements.
- Leading consumer product conversion of recent competitor acquisition, including product mapping, pricing and communications for all consumer product categories.
- Leading EMV implementation for consumer credit card portfolio.
- Led consumer deposit conversion of 2014 competitor acquisition.

May 2013 – Present: SVP, Emerging Payments Manager

- Mobile Deposit - implemented real time fraud detection and funds availability strategy to allow immediate access to mobile deposit and reduce losses.
- Evaluate external payment process to reduce fraud.

Regional Sales Manager I and II, Senior Regional Sales Manager, SVP (February 2006 – April 2013)

- Responsible for development and execution of the regional marketing and management strategy in the Memphis, Tennessee, Jackson, Tennessee, Germantown, Tennessee and North Mississippi market through hiring, training and management coaching of approximately 120 Financial Center staff members with a focus on customer service, sales, retention, compliance and profitability.
- 2010 – Nominated and selected to the inaugural Emerging Leaders Program.

Retail Relationship Manager (January 2005 – January 2006)

- Merger Acquisition Team member: establish new to bank mass affluent customer relationships by proactively calling prospects.
- Corporate recognition:
 - 2005 - Golden Circle Award Winner for exceeding 150% of annual sales goal

Financial Services Specialist (April 2003 – December 2004)

- Evaluate individual and business banking needs and cross sell appropriate products. Call customers quarterly to review accounts, offer other services, and enhance retention of customer base.
- Corporate recognition:
 - 2004 – Selected to attend a 25 participant Professional Enrichment Program
 - 2004 - Horizon Award WinnerHorizon Award received for exceeding 115% of annual sales goal.

American Express Financial Advisors - Memphis, Tennessee (December 1993 – March 2003)

Business Systems Manager, Financial Planning Specialist, Senior Paraplanner

- Financial planning: Consulted on an ongoing basis with individuals and small to mid market business owners to evaluate their current financial status, identify specific goals, and develop strategies to use resources in the most efficient manner in creating a comprehensive, written financial plan.
- Business Analysis: Analyzed current and historical business results for the Tennessee/Arkansas/Missouri region and developed and implemented proactive strategies to increase productivity.

EDUCATION AND LICENSES

- | | | |
|--|--|--|
| • Master of Business Administration
Christian Brothers University
Memphis, TN
Graduated 4.0/4.0 | • Barret School of Banking
Christian Brothers University
Memphis, TN
Graduated <i>Summa Cum Laude</i> | • Bachelor of Professional Studies
Major: Organizational Leadership
University of Memphis
Memphis, TN |
|--|--|--|
- Tennessee and Mississippi: Life, Accident & Health Insurance License
 - Series 7 - General Securities Representative
 - Series 66 - Uniform Securities Agent

CIVIC ACTIVITIES AND RECOGNITION

- Christian Brothers University - Adjunct Faculty – teach graduate level Business Plan Capstone class (2015 - Present)
- Germantown Municipal Television – creator and host of “In The Money”, personal finance television program
- Shelby County Government – appointed to Retirement Board (2011 - Present)
- City of Germantown – appointed to Retirement Plan Administration Commission (2012 - Present), Appointed as Chairman (2016)
- City of Germantown – appointed to Industrial Development Board (2016)
- Leadership Germantown graduate (2013)
- University of Memphis – Outstanding Young Alumni, University College (2012)
- Memphis Business Journal – recognized as Top 40 Under 40 (2011)